

XIV ET Symposium - Maastricht May 09, 2024

Introduction to Data Access models in large scientific environments

N&O P@@ - Nando Patat

European Southern Observatory - Observing Programmes Office

The European Southern Observatory

Data Access Policy



The European Southern Observatory



Data Access Policy

- The Principal Investigator (PI) of successful proposals (and their delegates) have exclusive access to their data for the duration of the **proprietary period**.

The European Southern Observatory



Data Access Policy

- The Principal Investigator (PI) of successful proposals (and their delegates) have exclusive access to their data for the duration of the **proprietary period**.
- After this the data are accessible to all users.

The European Southern Observatory



Data Access Policy

- The Principal Investigator (PI) of successful proposals (and their delegates) have exclusive access to their data for the duration of the **proprietary period**.
- After this the data are accessible to all users.
- Processed data retain the same proprietary period.

The European Southern Observatory



Data Access Policy

- The Principal Investigator (PI) of successful proposals (and their delegates) have exclusive access to their data for the duration of the **proprietary period**.
- After this the data are accessible to all users.
- Processed data retain the same proprietary period.
- The length of the proprietary period is set by the PI upon proposal submission.

The European Southern Observatory



Data Access Policy

- The Principal Investigator (PI) of successful proposals (and their delegates) have exclusive access to their data for the duration of the **proprietary period**.
- After this the data are accessible to all users.
- Processed data retain the same proprietary period.
- The length of the proprietary period is set by the PI upon proposal submission.
- It is typically one year (default), but it can be decreased or waived.

The European Southern Observatory



Data Access Policy

- The Principal Investigator (PI) of successful proposals (and their delegates) have exclusive access to their data for the duration of the **proprietary period**.
- After this the data are accessible to all users.
- Processed data retain the same proprietary period.
- The length of the proprietary period is set by the PI upon proposal submission.
- It is typically one year (default), but it can be decreased or waived.
- Longer proprietary periods require the approval of the Director General.

Proprietary Period



Proprietary Period



- The proprietary period starts when the raw data are made available for download from the ESO Archive to the PI of their delegates.

Proprietary Period



- The proprietary period starts when the raw data are made available for download from the ESO Archive to the PI of their delegates.
- Before the data become available, the data headers are visible to all users (barring special exceptions).

Proprietary Period



- The proprietary period starts when the raw data are made available for download from the ESO Archive to the PI of their delegates.
- Before the data become available, the data headers are visible to all users (barring special exceptions).
- The raw data are made available within minutes from the acquisition, and so they may become public at different times.

Proprietary Period



- The proprietary period starts when the raw data are made available for download from the ESO Archive to the PI of their delegates.
- Before the data become available, the data headers are visible to all users (barring special exceptions).
- The raw data are made available within minutes from the acquisition, and so they may become public at different times.
- Own the case of Public Surveys, the raw data is not covered by any proprietary period, by construction.

Proposals



Proposals



- ESO has an open skies policy: proposals can be submitted by scientists of any scientific institution even outside of the ESO member states

Proposals



- ESO has an open skies policy: proposals can be submitted by scientists of any scientific institution even outside of the ESO member states
- The title of the proposal, the PI and the list of co-investigators is public immediately after approved by the Director General.

Proposals



- ESO has an open skies policy: proposals can be submitted by scientists of any scientific institution even outside of the ESO member states
- The title of the proposal, the PI and the list of co-investigators is public immediately after approved by the Director General.
- The abstract of the successful proposal becomes available at the time the proprietary period expires.

Proposals



- ESO has an open skies policy: proposals can be submitted by scientists of any scientific institution even outside of the ESO member states
- The title of the proposal, the PI and the list of co-investigators is public immediately after approved by the Director General.
- The abstract of the successful proposal becomes available at the time the proprietary period expires.
- The rest of the proposal remains intellectual property of the proposing team (propositions have been made to change this).

Exceptions



Exceptions



- Exceptions may be granted in special cases upon request to the Director General.

Exceptions



- Exceptions may be granted in special cases upon request to the Director General.
- Examples include:

Exceptions



- Exceptions may be granted in special cases upon request to the Director General.
- Examples include:
 - Extensions of the proprietary period

Exceptions



- Exceptions may be granted in special cases upon request to the Director General.
- Examples include:
 - Extensions of the proprietary period
 - Obfuscation of data header information

Exceptions



- Exceptions may be granted in special cases upon request to the Director General.
- Examples include:
 - Extensions of the proprietary period
 - Obfuscation of data header information
 - Full data obfuscation

Target Protection



Target Protection



- Targets of approved programmes are protected, meaning that cannot be used by other projects with similar science cases and/or instruments to avoid unnecessary duplication of efforts.

Target Protection



- Targets of approved programmes are protected, meaning that cannot be used by other projects with similar science cases and/or instruments to avoid unnecessary duplication of efforts.
- The target protection extends to the duration of the approved programmes.

Target Protection



- Targets of approved programmes are protected, meaning that cannot be used by other projects with similar science cases and/or instruments to avoid unnecessary duplication of efforts.
- The target protection extends to the duration of the approved programmes.
- Exceptions can be granted following a scientific evaluation.

Target Protection



- Targets of approved programmes are protected, meaning that cannot be used by other projects with similar science cases and/or instruments to avoid unnecessary duplication of efforts.
- The target protection extends to the duration of the approved programmes.
- Exceptions can be granted following a scientific evaluation.
- Consortia delivering instruments to ESO are compensated via Guaranteed Time Observations (GTO).

Target Protection



- Targets of approved programmes are protected, meaning that cannot be used by other projects with similar science cases and/or instruments to avoid unnecessary duplication of efforts.
- The target protection extends to the duration of the approved programmes.
- Exceptions can be granted following a scientific evaluation.
- Consortia delivering instruments to ESO are compensated via Guaranteed Time Observations (GTO).
- This comes with [possibly special] target protections specified in the GTO contracts.

ALMA

Atacama Large Millimeter Array



Atacama Large
Millimeter/submillimeter
Array

ALMA

Atacama Large Millimeter Array



Atacama Large
Millimeter/submillimeter
Array

- All data taken by ALMA are jointly owned by the Parties. Ownership does not impact the free access to the data for use by observers and the community.

ALMA

Atacama Large Millimeter Array



Atacama Large
Millimeter/submillimeter
Array

- All data taken by ALMA are jointly owned by the Parties. Ownership does not impact the free access to the data for use by observers and the community.
- Proposal title, abstract and team composition are made public upon proposal approval. This includes the target list.

ALMA

Atacama Large Millimeter Array



Atacama Large
Millimeter/submillimeter
Array

- All data taken by ALMA are jointly owned by the Parties. Ownership does not impact the free access to the data for use by observers and the community.
- Proposal title, abstract and team composition are made public upon proposal approval. This includes the target list.
- The rest of the proposal is never made public.

ALMA

Atacama Large Millimeter Array



- All data taken by ALMA are jointly owned by the Parties. Ownership does not impact the free access to the data for use by observers and the community.
- Proposal title, abstract and team composition are made public upon proposal approval. This includes the target list.
- The rest of the proposal is never made public.
- Observational metadata (frequency, resolution, ...) are made available when the observations pass the quality control (QC) check.

ALMA data access/1



Atacama Large
Millimeter/submillimeter
Array

ALMA data access/1



Atacama Large
Millimeter/submillimeter
Array

- All data passing QC are passed to the PI and delegated cols. They have exclusive access to the data.

ALMA data access/1



Atacama Large
Millimeter/submillimeter
Array

- All data passing QC are passed to the PI and delegated cols. They have exclusive access to the data.
- The data are protected by a **proprietary period** of 12 months.

ALMA data access/1



Atacama Large
Millimeter/submillimeter
Array

- All data passing QC are passed to the PI and delegated cols. They have exclusive access to the data.
- The data are protected by a **proprietary period** of 12 months.
- The proprietary period starts when ALMA sends a notification to the PIs informing them that the data are available for download.

ALMA data access/1



Atacama Large
Millimeter/submillimeter
Array

- All data passing QC are passed to the PI and delegated cols. They have exclusive access to the data.
- The data are protected by a **proprietary period** of 12 months.
- The proprietary period starts when ALMA sends a notification to the PIs informing them that the data are available for download.
- No changes to the proprietary period are considered.

ALMA data access/1



- All data passing QC are passed to the PI and delegated cols. They have exclusive access to the data.
- The data are protected by a **proprietary period** of 12 months.
- The proprietary period starts when ALMA sends a notification to the PIs informing them that the data are available for download.
- No changes to the proprietary period are considered.
- For Director Discretionary Time (DDT) proposals the proprietary period is six months.

ALMA data access/1



- All data passing QC are passed to the PI and delegated cols. They have exclusive access to the data.
- The data are protected by a **proprietary period** of 12 months.
- The proprietary period starts when ALMA sends a notification to the PIs informing them that the data are available for download.
- No changes to the proprietary period are considered.
- For Director Discretionary Time (DDT) proposals the proprietary period is six months.
- The ALMA DG can exceptionally grant a different proprietary period.

ALMA data access/2



Atacama Large
Millimeter/submillimeter
Array

ALMA data access/2



Atacama Large
Millimeter/submillimeter
Array

- After the expiry of the proprietary period data become publicly accessible.

ALMA data access/2



Atacama Large
Millimeter/submillimeter
Array

- After the expiry of the proprietary period data become publicly accessible.
- Observations which are not completed (and have low chances of being completed) enter the *stale* state.

ALMA data access/2



- After the expiry of the proprietary period data become publicly accessible.
- Observations which are not completed (and have low chances of being completed) enter the *stale* state.
- Stale data are eligible for *intermediate data delivery*, but remain accessible only to the PI and delegated collaborators. The intermediate delivery can only be triggered by the PI.

ALMA data access/2



- After the expiry of the proprietary period data become publicly accessible.
- Observations which are not completed (and have low chances of being completed) enter the *stale* state.
- Stale data are eligible for *intermediate data delivery*, but remain accessible only to the PI and delegated collaborators. The intermediate delivery can only be triggered by the PI.
- Intermediate data delivery do not initiate the proprietary period.

ALMA data access/2



- After the expiry of the proprietary period data become publicly accessible.
- Observations which are not completed (and have low chances of being completed) enter the *stale* state.
- Stale data are eligible for *intermediate data delivery*, but remain accessible only to the PI and delegated collaborators. The intermediate delivery can only be triggered by the PI.
- Intermediate data delivery do not initiate the proprietary period.
- Programmes reporting data problems are entitled to request extensions of the proprietary period.

ALMA proposals



Atacama Large
Millimeter/submillimeter
Array

ALMA proposals



- Proposals can be submitted by registered users with any professional background, nationality or affiliation.

ALMA proposals



- Proposals can be submitted by registered users with any professional background, nationality or affiliation.
- There are time quotas for the three parties (US, Europe, East-Asia).

The Hubble Space Telescope



Source: [HST Data Rights](#)

The Hubble Space Telescope



- Proprietary periods for exclusive data access depending on the proposal category

The Hubble Space Telescope



- Proprietary periods for exclusive data access depending on the proposal category
- Medium GO proposals: 6 months (default). PIs can request shorter proprietary periods (3 months or 0).

The Hubble Space Telescope



- Proprietary periods for exclusive data access depending on the proposal category
- Medium GO proposals: 6 months (default). PIs can request shorter proprietary periods (3 months or 0).
- The proprietary period starts on the moment the data are archived.

The Hubble Space Telescope



- Proprietary periods for exclusive data access depending on the proposal category
- Medium GO proposals: 6 months (default). PIs can request shorter proprietary periods (3 months or 0).
- The proprietary period starts on the moment the data are archived.
- Treasury and Large Programme programmes have zero proprietary time by default. Exceptions to this can be requested in the proposal and are evaluated by the TAC.

The James Webb Space Telescope



Source: [JWST Data Rights](#)

The James Webb Space Telescope



- Small, Medium and Survey proposals the proprietary period (*exclusive access period*) is normally 12 months (following data archival).

The James Webb Space Telescope



- Small, Medium and Survey proposals the proprietary period (*exclusive access period*) is normally 12 months (following data archival).
- PIs can consider to specify 6 months, 3 months or zero proprietary period at proposal submission time. Quoting: “*Because of the potential benefit to the community, particularly in the case of Survey programs, proposers should give this serious consideration*”.

The James Webb Space Telescope



- Small, Medium and Survey proposals the proprietary period (*exclusive access period*) is normally 12 months (following data archival).
- PIs can consider to specify 6 months, 3 months or zero proprietary period at proposal submission time. Quoting: “*Because of the potential benefit to the community, particularly in the case of Survey programs, proposers should give this serious consideration*”.
- Treasury and Large Programmes have by default zero proprietary period. Requests for non-zero proprietary period are evaluated by the TAC.

ESA Integral/1



Source: [INTEGRAL Policies](#)

ESA Integral/1



- A proposer can never ask for “data rights for the entire FOV” or “data rights for all sources (known/unknown) to be found in the FOV”.

ESA Integral/1



- A proposer can never ask for “data rights for the entire FOV” or “data rights for all sources (known/unknown) to be found in the FOV”.
- PIs receive the data relevant to their observations and will retain exclusive data rights to the proposed sources.

ESA Integral/1



- A proposer can never ask for “data rights for the entire FOV” or “data rights for all sources (known/unknown) to be found in the FOV”.
- PIs receive the data relevant to their observations and will retain exclusive data rights to the proposed sources.
- The data rights are based on the scientific justification and as accepted (in some cases also modified) by the TAC, for 1 year.

ESA Integral/1



- A proposer can never ask for “data rights for the entire FOV” or “data rights for all sources (known/unknown) to be found in the FOV”.
- PIs receive the data relevant to their observations and will retain exclusive data rights to the proposed sources.
- The data rights are based on the scientific justification and as accepted (in some cases also modified) by the TAC, for 1 year.
- The time is counted from the time the consolidated data have been dispatched to the PI.

ESA Integral/2



Source: [INTEGRAL Policies](#)

ESA Integral/2

- For a specific source this includes the source and the surrounding background field.



ESA Integral/2



- For a specific source this includes the source and the surrounding background field.
- The rest of the field will be made immediately publicly available.

ESA Integral/2



- For a specific source this includes the source and the surrounding background field.
- The rest of the field will be made immediately publicly available.
- This holds for normal and ToO proposals.

ESA Integral/2



- For a specific source this includes the source and the surrounding background field.
- The rest of the field will be made immediately publicly available.
- This holds for normal and ToO proposals.
- Different criteria are specified for PIs from the Russian Confederation.

ESA Integral/2



- For a specific source this includes the source and the surrounding background field.
- The rest of the field will be made immediately publicly available.
- This holds for normal and ToO proposals.
- Different criteria are specified for PIs from the Russian Confederation.
- There is a process to prevent/punish PIs to/who publish data pertaining to other proprietary sources (or science).

ESA Integral/2



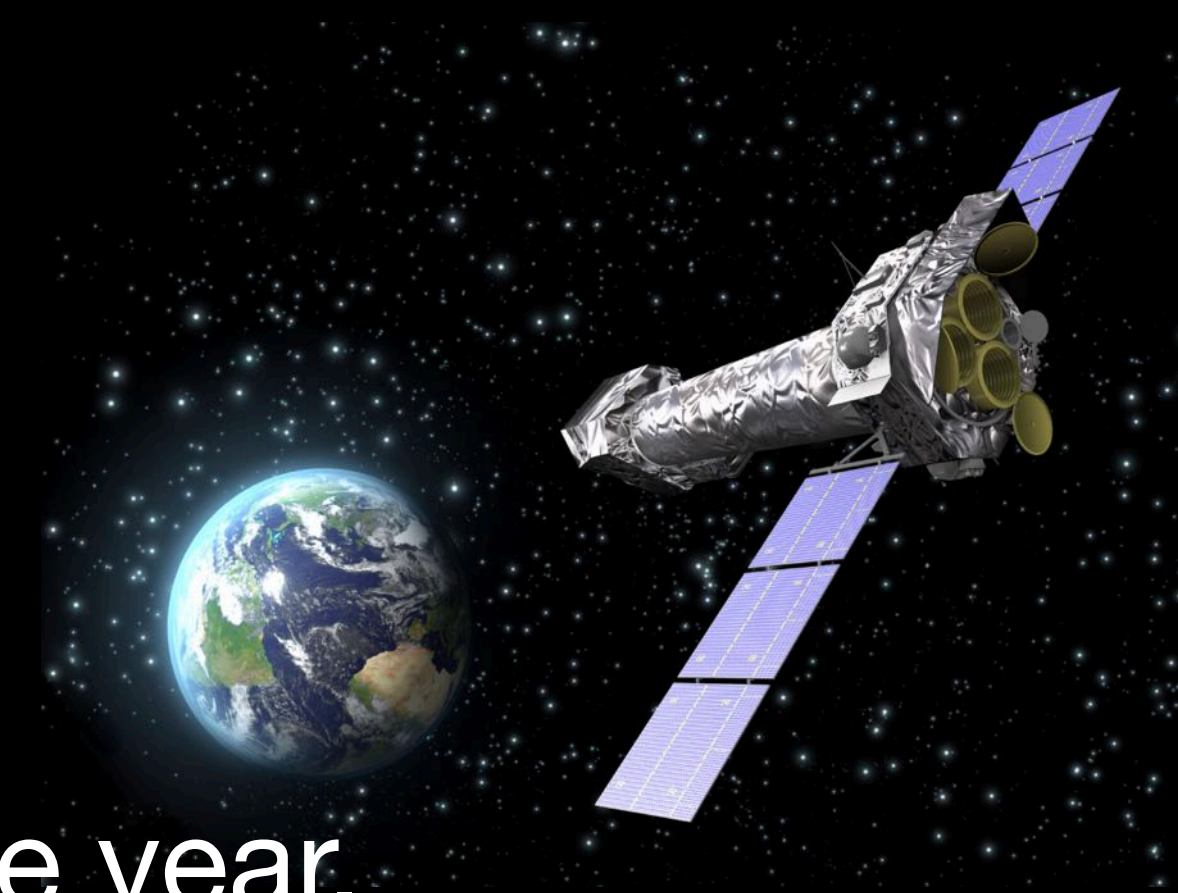
- For a specific source this includes the source and the surrounding background field.
- The rest of the field will be made immediately publicly available.
- This holds for normal and ToO proposals.
- Different criteria are specified for PIs from the Russian Confederation.
- There is a process to prevent/punish PIs to/who publish data pertaining to other proprietary sources (or science).
- Special rights for ToO programmes (too long to be presented here 😊)

ESA XMM-Newton



Source: [XMM-Newton Data Rights](#)

ESA XMM-Newton



- For individual observations there is a proprietary period of one year.

ESA XMM-Newton



- For individual observations there is a proprietary period of one year.
- The proprietary period starts at the time the data are made available to the PI in usable form (suitable calibrations and appropriate data processing).

ESA XMM-Newton



- For individual observations there is a proprietary period of one year.
- The proprietary period starts at the time the data are made available to the PI in usable form (suitable calibrations and appropriate data processing).
- The Project Scientist decides about the data rights of partly performed observations for which they have requested complete repetitions.

ESA XMM-Newton



- For individual observations there is a proprietary period of one year.
- The proprietary period starts at the time the data are made available to the PI in usable form (suitable calibrations and appropriate data processing).
- The Project Scientist decides about the data rights of partly performed observations for which they have requested complete repetitions.
- No proprietary rights are allocated by default for data resulting from Very Large Programmes (VLP).

ESA XMM-Newton



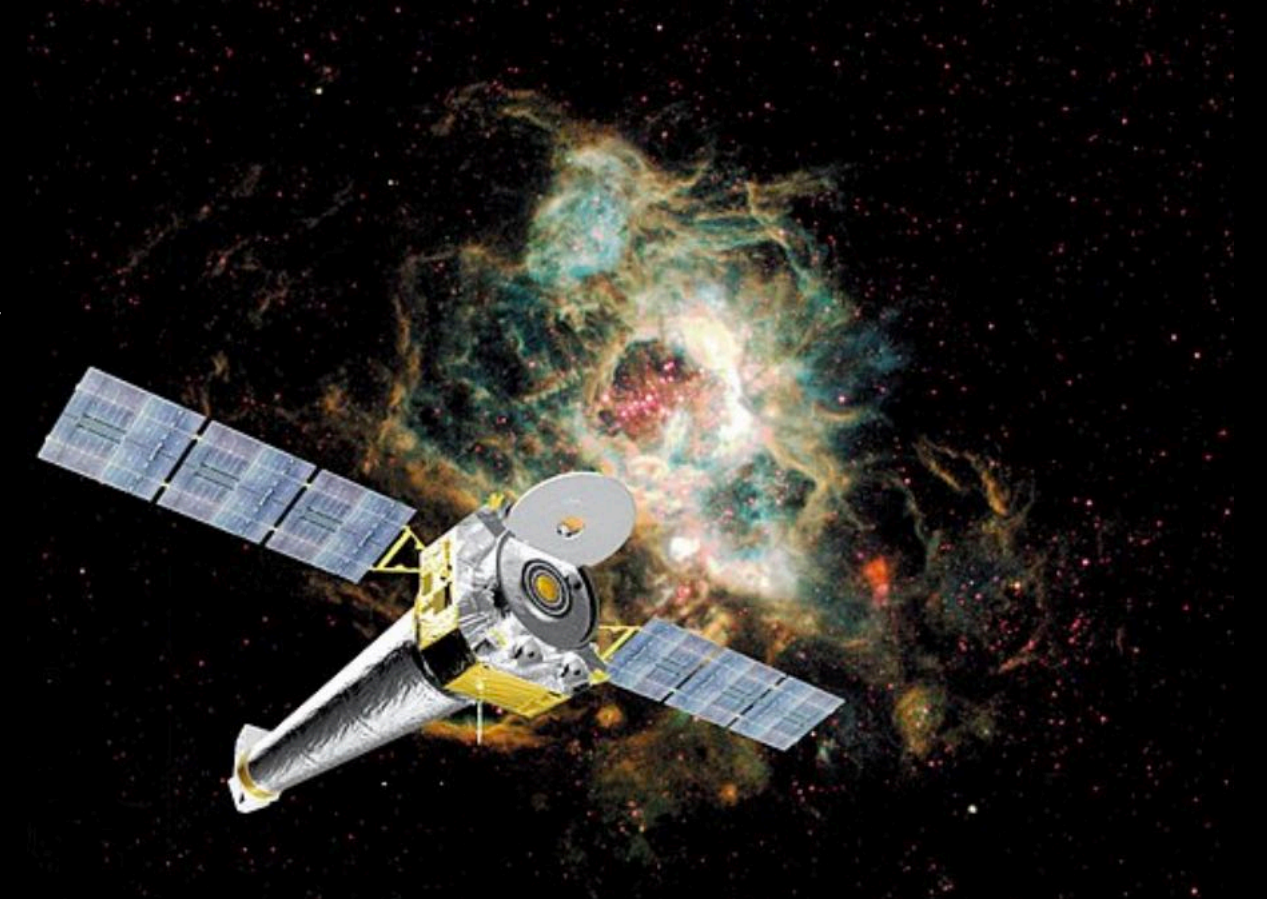
- For individual observations there is a proprietary period of one year.
- The proprietary period starts at the time the data are made available to the PI in usable form (suitable calibrations and appropriate data processing).
- The Project Scientist decides about the data rights of partly performed observations for which they have requested complete repetitions.
- No proprietary rights are allocated by default for data resulting from Very Large Programmes (VLP).
- PIs who wish to obtain data rights for VLP have to request them in the scientific justification of the proposal.

NASA Chandra X-Ray Observatory



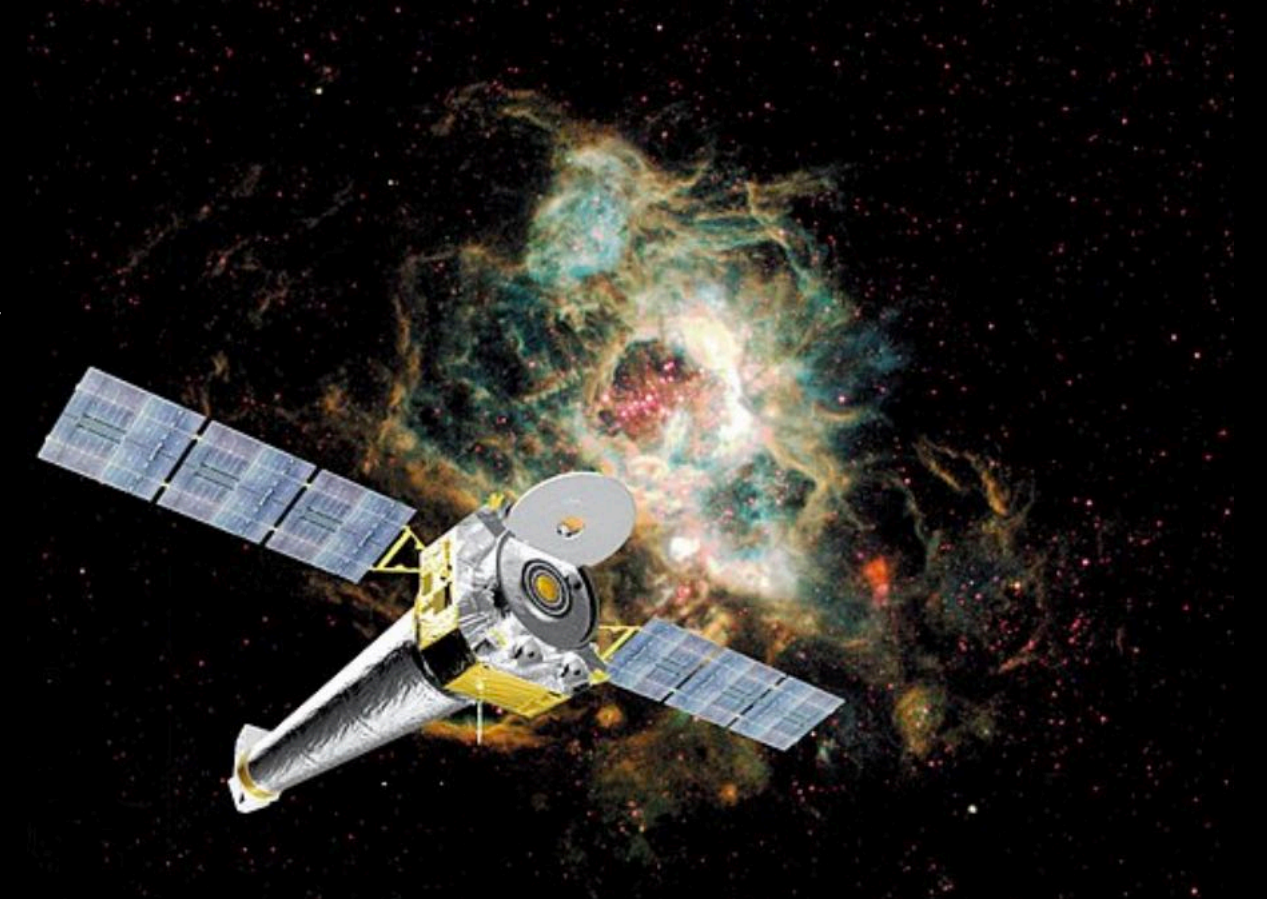
Source: [Chandra Exclusive Use Period](#)

NASA Chandra X-Ray Observatory



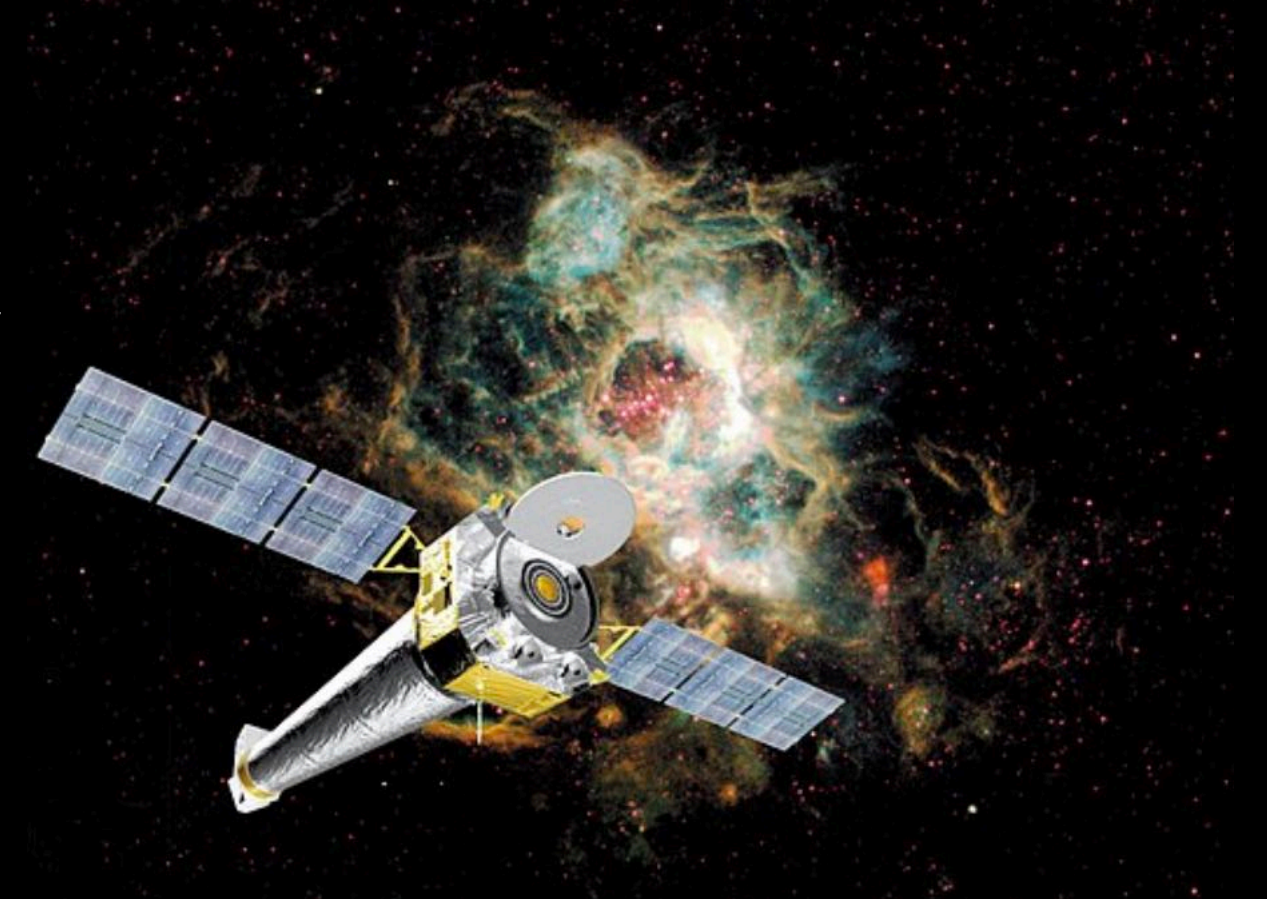
- The proprietary period used to be 12 months.

NASA Chandra X-Ray Observatory



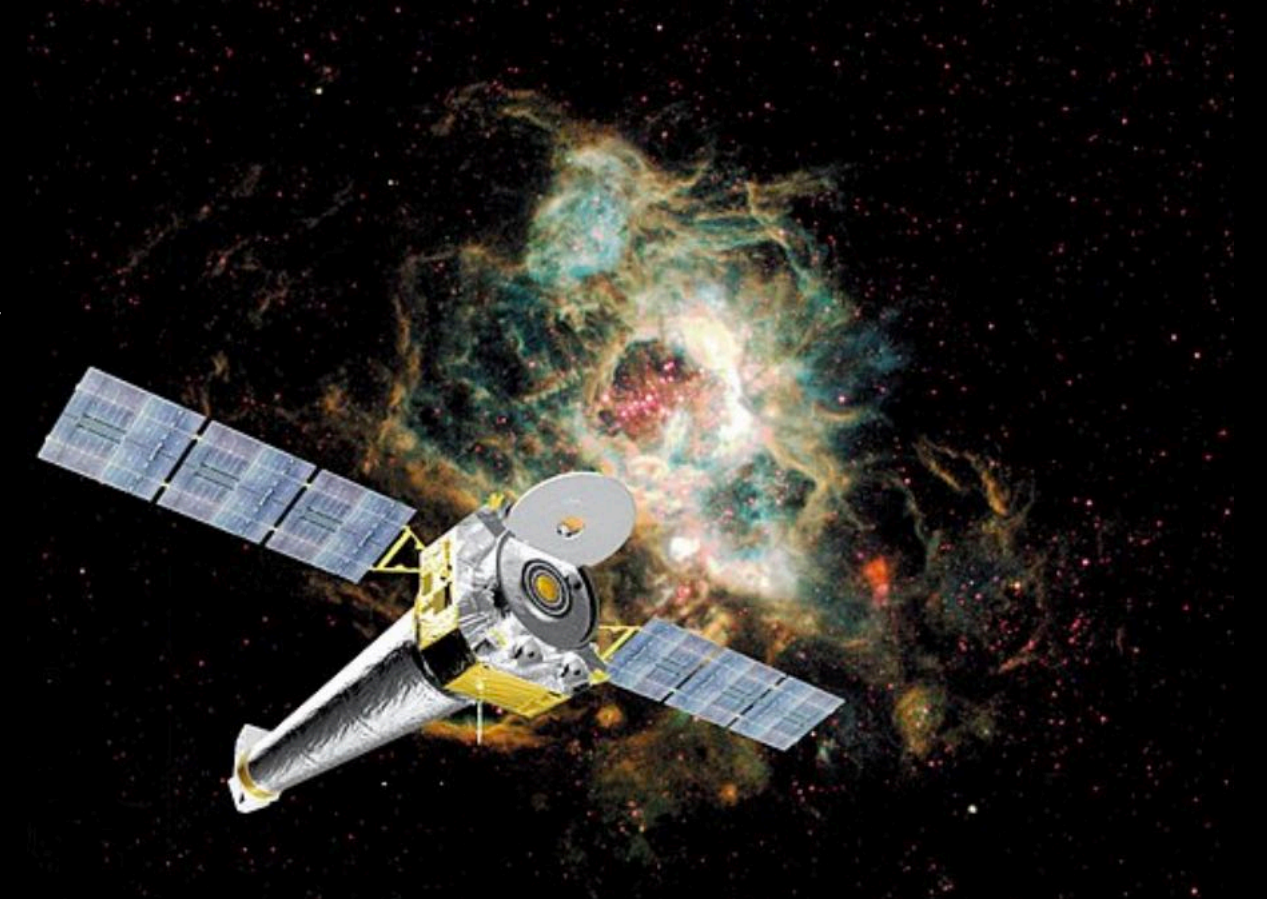
- The proprietary period used to be 12 months.
- As of cycle #24 and with the exception of VLPs, all GO and GTO data have a proprietary period of 6 months.

NASA Chandra X-Ray Observatory



- The proprietary period used to be 12 months.
- As of cycle #24 and with the exception of VLPs, all GO and GTO data have a proprietary period of 6 months.
- Proposing teams can waive the proprietary period, but this is not taken into account during the proposal review

NASA Chandra X-Ray Observatory



- The proprietary period used to be 12 months.
- As of cycle #24 and with the exception of VLPs, all GO and GTO data have a proprietary period of 6 months.
- Proposing teams can waive the proprietary period, but this is not taken into account during the proposal review
- Data from ToOs and DDTs may be exclusive for limited periods, no more than 3 months.

RUBIN-LSST



Source: [Rubin Data Rights](#)

RUBIN-LSST



- Rubin LSST in-kind Contribution Program, participating international programs each earn, for a specified number of PIs and Junior Associates, the right to access LSST data during its **2-year proprietary period**.

RUBIN-LSST



- Rubin LSST in-kind Contribution Program, participating international programs each earn, for a specified number of PIs and Junior Associates, the right to access LSST data during its **2-year proprietary period**.
- Each international in-kind program will hold a formal data rights agreement. This will capture the terms and conditions of the collaboration between the program and Rubin. An attached SoW will describe the contributions being made and their PI value.

RUBIN-LSST



- Rubin LSST in-kind Contribution Program, participating international programs each earn, for a specified number of PIs and Junior Associates, the right to access LSST data during its **2-year proprietary period**.
- Each international in-kind program will hold a formal data rights agreement. This will capture the terms and conditions of the collaboration between the program and Rubin. An attached SoW will describe the contributions being made and their PI value.
- LSST users are not allowed to distribute proprietary LSST data to non-users (although they are allowed to share derived data products).

RUBIN-LSST



- Rubin LSST in-kind Contribution Program, participating international programs each earn, for a specified number of PIs and Junior Associates, the right to access LSST data during its **2-year proprietary period**.
- Each international in-kind program will hold a formal data rights agreement. This will capture the terms and conditions of the collaboration between the program and Rubin. An attached SoW will describe the contributions being made and their PI value.
- LSST users are not allowed to distribute proprietary LSST data to non-users (although they are allowed to share derived data products).
- Generally, the policy looks quite articulated...

Source: [Rubin Data Rights](#)

IceCube

Neutrino Observatory



Source: [IceCube Governance Document](#)

IceCube

Neutrino Observatory



- There are three ways of accessing data:

IceCube

Neutrino Observatory



- There are three ways of accessing data:
 - **IceCube Collaboration Membership**

IceCube

Neutrino Observatory



- There are three ways of accessing data:
 - **IceCube Collaboration Membership**
 - **Associate Membership**

IceCube

Neutrino Observatory



- There are three ways of accessing data:
 - **IceCube Collaboration Membership**
 - **Associate Membership**
 - **Direct Access to Public Data Pages:** raw data are stored and backed up. Data are available upon publication of the results by the Collaboration.

IceCube

Neutrino Observatory



- There are three ways of accessing data:
 - **IceCube Collaboration Membership**
 - **Associate Membership**
 - **Direct Access to Public Data Pages:** raw data are stored and backed up. Data are available upon publication of the results by the Collaboration.
 - It is anticipated that the data will be released within 2 to 3 years after the completed run in which the data are acquired.

KM3NeT

Cubic Kilometre Neutrino Telescope



KM3NeT

*Opens a new window
on our universe*

Source: [KM3NeT Open Access](#)

KM3NeT

Cubic Kilometre Neutrino Telescope



KM3NeT

*Opens a new window
on our universe*

- The KM3NeT Collaboration has adopted an open access policy with regulated, free-of-charge access to the infrastructure and its data for scientific purposes.

Source: [KM3NeT Open Access](#)

KM3NeT

Cubic Kilometre Neutrino Telescope



KM3NeT

*Opens a new window
on our universe*

- The KM3NeT Collaboration has adopted an open access policy with regulated, free-of-charge access to the infrastructure and its data for scientific purposes.
- However, the procedures defining the modalities of access, the selection procedures of external experiments and the technical constraints for connected instruments are currently under preparation.

Source: [KM3NeT Open Access](#)

KM3NeT

Cubic Kilometre Neutrino Telescope



KM3NeT

*Opens a new window
on our universe*

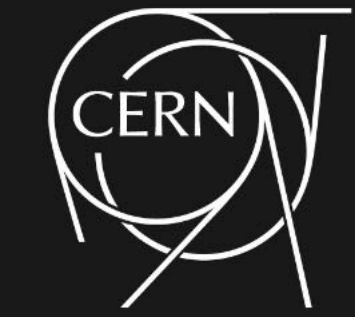
- The KM3NeT Collaboration has adopted an open access policy with regulated, free-of-charge access to the infrastructure and its data for scientific purposes.
- However, the procedures defining the modalities of access, the selection procedures of external experiments and the technical constraints for connected instruments are currently under preparation.
- For this, the Open Science Committee of KM3NeT has been established.

Source: [KM3NeT Open Access](#)

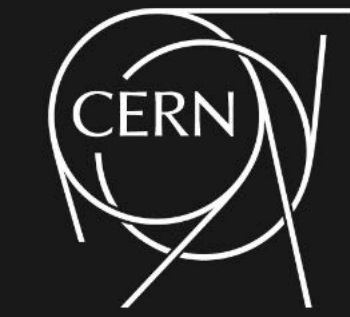
CERN/1

Large Hadron Collider

CERN Accelerating science



Source: [CERN Open Data for LHC](#)



CERN/1

Large Hadron Collider

- The experiment Collaborations make the data available at the time of publication.



CERN/1

Large Hadron Collider

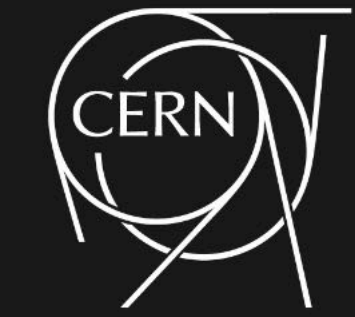
- The experiment Collaborations make the data available at the time of publication.
- Reinterpretation of published data is made possible through analysis preservation and direct collaboration with external researchers.



CERN/1

Large Hadron Collider

- The experiment Collaborations make the data available at the time of publication.
- Reinterpretation of published data is made possible through analysis preservation and direct collaboration with external researchers.
- Experiments will release calibrated data, accompanied by provenance metadata. The information provided will be sufficient to allow high-quality data analysis.



CERN/1

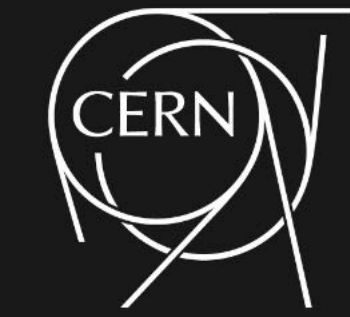
Large Hadron Collider

- The experiment Collaborations make the data available at the time of publication.
- Reinterpretation of published data is made possible through analysis preservation and direct collaboration with external researchers.
- Experiments will release calibrated data, accompanied by provenance metadata. The information provided will be sufficient to allow high-quality data analysis.
- Public releases will occur periodically following an **appropriate latency period** to allow thorough understanding of the data. Full data sets will be made available at the close of the Collaboration.

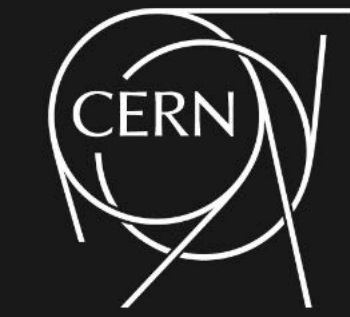
CERN/2

Large Hadron Collider

CERN Accelerating science



[See also CERN Open Science](#)



CERN/2

Large Hadron Collider

- External authors should be aware that they will not have access to the vast amount of tacit knowledge built up within the LHC collaborations over decades of design, construction and operation.



[See also CERN Open Science](#)



CERN/2

Large Hadron Collider

- External authors should be aware that they will not have access to the vast amount of tacit knowledge built up within the LHC collaborations over decades of design, construction and operation.
- To allow external scientists to fully benefit from all the data the collaborations may offer appropriate association programmes.



[See also CERN Open Science](#)



CERN/2

Large Hadron Collider

- External authors should be aware that they will not have access to the vast amount of tacit knowledge built up within the LHC collaborations over decades of design, construction and operation.
- To allow external scientists to fully benefit from all the data the collaborations may offer appropriate association programmes.
- It is not practically possible to make the full raw data-set from the LHC experiments usable in a meaningful way outside the collaborations.



[See also CERN Open Science](#)

Summary

Summary

- All facilities included in this [incomplete] review have proprietary periods.

Summary

- All facilities included in this [incomplete] review have proprietary periods.
- Some facilities offer the possibility of reducing or waiving these periods.

Summary

- All facilities included in this [incomplete] review have proprietary periods.
- Some facilities offer the possibility of reducing or waiving these periods.
- Extensions of the proprietary periods are subject to review, approval/rejection.

Summary

- All facilities included in this [incomplete] review have proprietary periods.
- Some facilities offer the possibility of reducing or waiving these periods.
- Extensions of the proprietary periods are subject to review, approval/rejection.
- For some facilities the data are released only after the publications.

Summary

- All facilities included in this [incomplete] review have proprietary periods.
- Some facilities offer the possibility of reducing or waiving these periods.
- Extensions of the proprietary periods are subject to review, approval/rejection.
- For some facilities the data are released only after the publications.

Open research data refers to the publishing of the data underpinning scientific research results so that they have no restrictions on their access and usage. Openly sharing data opens it up to inspection and re-use, forms the basis for research verification and reproducibility, and opens up a path to broader collaboration.